

PATENT  
450108-02696IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

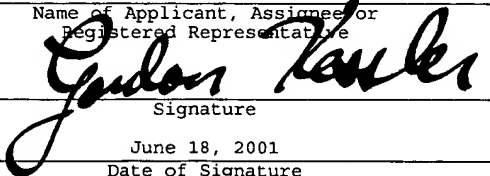
Applicants : Yoshihito ISHIBASHI et al.  
Int'l Application : PCT/JP00/06089  
Int'l Filing Date : 7 September 2000  
For : INFORMATION SENDING SYSTEM, INFORMATION  
SENDING APPARATUS AND METHOD,  
INFORMATION RECEIVING APPARATUS AND  
METHOD, RECORDING AND REPRODUCING  
SYSTEM, RECORDING AND REPRODUCING  
APPARATUS AND METHOD, REPRODUCING  
APPARATUS AND METHOD, DATA STORAGE  
APPARATUS AND METHOD, DATA MANAGEMENT  
SYSTEM, MANAGEMENT APPARATUS, DATA  
MANAGEMENT METHOD, DATA MANAGEMENT AND  
MIGRATION METHOD, INFORMATION PROVISION  
SYSTEM, INFORMATION REGULATING APPARATUS  
AND METHOD, DATA UTILIZATION METHOD,  
INFORMATION PROVISION APPARATUS AND  
METHOD, INFORMATION RECORDING APPARATUS,  
LIST SENDING APPARATUS AND METHOD,  
PROGRAM STORAGE MEDIUM

U.S. Serial No. : 09/831,071  
745 Fifth Avenue  
New York, New York 10151  
Tel. (212) 588-0800

I hereby certify that this correspondence is being  
deposited with the United States Postal Service as  
first class mail in an envelope addressed to:  
Assistant Commissioner for Patents  
Washington, D.C. 20231, on June 18, 2001

Gordon Kessler, Reg. No. 25,506

Name of Applicant, Assignee or  
Registered Representative

  
Signature

June 18, 2001  
Date of Signature

COMMUNICATION

Assistant Commissioner for Patents  
BOX PCT  
Washington, D.C. 20231

Sir:

Enclosed herewith is a copy of the "Notification of  
Missing Requirements" (form PCT/DO/EO/905) dated May 25, 2001 on

PATENT  
450108-02696

the above application, a Declaration duly signed by the inventors, and a check in the amount of the required \$130.00 surcharge. By separate cover, we are filing the Assignment and the \$40.00 fee for recording same.


Please charge any additional fees incurred or credit any overpayment to Deposit Account No. 50-0320.

In view of the foregoing, it is believed that the identified application is now complete. Early examination of the application on its merits is solicited.

Respectfully submitted,

FROMMER LAWRENCE & HAUG LLP  
Attorneys for Applicants

By:

  
Gordon Kessler  
Reg. No. 25,506  
Tel. (212) 588-0800

Enclosure

06/25/2001 SNAJARRO 00000097 09831071

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130.00 DP

DOCKETED

DATE MAILED: 25 MAY 2001

**NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)**

1. The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as ☒ a Designated Office (37 CFR 1.494) ☐ an Elected Office (37 CFR 1.495):
- |   |  |
|---|--|
| <input checked="" type="checkbox"/> U.S. Basic National Fee.  | <input type="checkbox"/> Indication of Small Entity Status.                                    |
| <input checked="" type="checkbox"/> Copy of the international application.  | <input checked="" type="checkbox"/> Translation of the international application into English. |
| <input type="checkbox"/> Oath or Declaration of inventors(s).   | <input type="checkbox"/> Translation of Article 19 amendments into English.                    |
| <input type="checkbox"/> Copy of Article 19 amendments.   | <input type="checkbox"/> Other:  |
| <input checked="" type="checkbox"/> Priority Document.  |  |
| <input type="checkbox"/> The International Preliminary Examination Report in English and its Annexes, if any.     |  |
| <input type="checkbox"/> Translation of Annexes to the International Preliminary Examination Report into English. |  |
2. ☒ Applicant has requested early processing under 35 U.S.C. 371(f) but has not filed the following indicated items and/or the indicated items in paragraph 3 below. The Basic National Fee and the copy of the international application must be filed prior to 20 or 30 months from the priority date to avoid abandonment.
- ☐ U.S. Basic National Fee. ☐ Copy of the international application.
3. The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:
- ☐ a. Translation of the application into English. A processing fee will be required if submitted later than the appropriate 20 or 30 months from the priority date.
- ☐ The current translation is defective for the reasons indicated on the attached Notice of Defective Translation.
- ☐ b. Processing fee for providing the translation of the application and/or the Annexes later than the appropriate 20 or 30 months from the priority date (37 CFR 1.492(f)).
- ☒ c. Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), properly identifying the application (preferably by the International application number and international filing date). A surcharge will be required if submitted later than the appropriate 20 or 30 months from the priority date.
- ☐ The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) for the reasons indicated on the attached PCT/DO/EO/917.
- ☒ d. Surcharge for providing the oath or declaration later than the appropriate 20 or 30 months from the priority date (37 CFR 1.492(e)).
4. Additional claim fees of \$\_\_\_\_\_ as a ☐ large entity ☐ small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due (37 CFR 1.492(g)). See attached PTO-875.
5. ☐ Applicant has not submitted the required sequence listing pursuant to 37 CFR 1.821-1.825. See attached PCT/DO/EO/920.

**ALL OF THE ITEMS SET FORTH IN 3(a)-3(d), 4 AND 5 ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 22 OR 32 MONTHS (where 37 CFR 1.495 applies) FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.**

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

6. If box 3a or 3c is checked, a translation of the Annexes **MUST** be submitted no later than the time period set above or the Annexes will be cancelled. A processing fee will be required if submitted later than 20 or 30 months from the priority date.
7. ☐ The Article 19 amendments are cancelled since a translation was not provided by the appropriate 20 (37 CFR 1.494(d)) or 30 (37 CFR 1.495(d)) months from the priority date.

Applicant is reminded that any communication to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above. (37 CFR 1.5)

***A copy of this notice MUST be returned with this response.***

Enclosed: ☐ PCT/DO/EO/917 ☐ Notice of Defective Translation  
☐ PTO-875 ☐ PCT/DO/EO/920

Pat Booker, Paralegal

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Assistant Commissioner For Patents  
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Bib Data Sheet

CONFIRMATION NO. 8193

<b>SERIAL NUMBER</b> 09/831,071	<b>FILING DATE</b> 06/21/2001 <b>RULE</b>	<b>CLASS</b> 709	<b>GROUP ART UNIT</b> 2152	<b>ATTORNEY DOCKET NO.</b> 450108-02696
<b>APPLICANTS</b> Yoshihito Ishibashi, Tokyo, JAPAN; Tateo Toishi, Saitama, JAPAN; Akihiro Muto, Tokyo, JAPAN; Jun Kitahara, Chiba, JAPAN; Taizou Shirai, Tokyo, JAPAN;				
<b>** CONTINUING DATA *****</b> THIS APPLICATION IS A 371 OF PCT/JP00/06089 09/07/2000				
<b>** FOREIGN APPLICATIONS *****</b> JAPAN 11/253660 09/07/1999 JAPAN 11/253661 09/07/1999 JAPAN 11/253662 09/07/1999 JAPAN 11/253663 09/07/1999 JAPAN 11/260638 09/14/1999 JAPAN 11/264082 09/17/1999 JAPAN 11/265866 09/20/1999				
Foreign Priority claimed <input type="checkbox"/> yes <input type="checkbox"/> no 35 USC 119 (a-d) conditions <input type="checkbox"/> yes <input type="checkbox"/> no <input type="checkbox"/> Met after Allowance Verified and Acknowledged _____ Examiner's Signature _____ Initials _____		<b>STATE OR COUNTRY</b> JAPAN	<b>SHEETS DRAWING</b> 118	<b>TOTAL CLAIMS</b> 395
		<b>INDEPENDENT CLAIMS</b> 72		
<b>ADDRESS</b> William S Frommer Frommer Lawrence & Haug 745 Fifth Avenue New York, NY 10151				
<b>TITLE</b> Contents management system, device, method, and program storage medium				
<b>FILING FEE RECEIVED</b> 13260	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:		<input type="checkbox"/> All Fees <input type="checkbox"/> 1.16 Fees ( Filing ) <input type="checkbox"/> 1.17 Fees ( Processing Ext. of time ) <input type="checkbox"/> 1.18 Fees ( Issue ) <input type="checkbox"/> Other _____	

